

DPID	1 3 0 4 0 3 0 0	BO ID	
Type of Form	<input type="checkbox"/> Demat <input type="checkbox"/> SVC E-Trade (3-in-1 Account)		
CKYC Done:	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide CKYC No.		
SVC CBS CustID:		UCC Code:	
Sourced by		Exchange Name & ID	
Branch		Login Date	

I/ We request to open a Depository Account in my / our name as per the following details. Application Date

1. TYPE OF ACCOUNT* (Please Tick the appropriate selection) (In case of Sole Proprietor/Partnership Firm/Unregistered Trust, Demat account should be opened in the name of Proprietor/Partners/Trustees.)

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director <input type="checkbox"/> Individual Director Relative <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual margin Trading A/C (MANTRA) <input type="checkbox"/> Minor <input type="checkbox"/> Other
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts <input type="checkbox"/> Others
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Other

2. ACCOUNT HOLDERS*

Name of sole/1st Holder	Title	FIRST NAME	Middle name	Surname
Aadhaar No.	* * * * *			PAN
Mobile No.				Email
Gross Annual Income (p.a.)	<input type="checkbox"/> upto ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lac <input type="checkbox"/> ₹ 5-10 Lac <input type="checkbox"/> ₹ 10-25 Lac <input type="checkbox"/> More than ₹ 25 Lacs			
Net-worth as on date	As on date ₹ (should not be older than one year)			
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)			
Occupation	<input type="checkbox"/> Private/Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others			
Name of 2nd Holder	Title	FIRST NAME	Middle name	Surname
Aadhaar No.	* * * * *			PAN
Gross Annual Income (p.a.)	<input type="checkbox"/> upto ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lac <input type="checkbox"/> ₹ 5-10 Lac <input type="checkbox"/> ₹ 10-25 Lac <input type="checkbox"/> More than ₹ 25 Lacs			
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)			
Name of 3rd Holder	Title	FIRST NAME	Middle name	Surname
Aadhaar No.	* * * * *			PAN
Gross Annual Income (p.a.)	<input type="checkbox"/> upto ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lac <input type="checkbox"/> ₹ 5-10 Lac <input type="checkbox"/> ₹ 10-25 Lac <input type="checkbox"/> More than ₹ 25 Lacs			
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)			

Name*: *In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

3. DETAILS OF GUARDIAN* (In case of minor)

Name	Title	FIRST NAME	Middle name	Surname
Relation	PAN			Aadhaar No. * * * * *

4. BANK DETAILS* (I/We wish to receive dividend/interest into my bank account as given below)

Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Other (specify)	Account Number	
Bank Name	<input type="checkbox"/> SVC Bank <input type="checkbox"/> Other	Bank MICR Code (9 digit)	IFSC Code
Bank Name	Bank Address		
	City:	State:	Pincode:

5. ADDITIONAL DETAILS* (Please Tick the appropriate selection)

Account statement requirement As per SEBI Regulation Monthly Fortnightly Weekly Daily

I/We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes') Yes No

I/We wish to receive dividend/interest directly into my/our Bank A/c through ECS (If not marked, the default option would be 'Yes'. ECS is mandatory for locations notified by SEBI from time to time.) Yes No

I/We request you to send Electronic Transaction-Cum-Holding Statement through E-mail _____ (Please mention E-mail ID in KYC form) Yes No

SMS Alert Facility on Mobile Number as given in KYC Form _____ (For more information, refer to our website www.svcbank.com on Demat page) (if POA is not granted & you do not wish to avail of this facility, cancel this option). Yes No

To register for easi, please visit website www.cdslindia.com [easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.] Yes No

I/We would like to instruct the DP to accept all the Pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, default option will be 'No') Yes No

I/We would like to share the E-mail ID with the RTA. Yes No

I/We would like to receive the annual report (If not marked, default option will be 'Physical') Physical Electronic Both

Slip (DIS) booklet facility Yes No

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / we have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

6. Standing Instruction for debiting charges (Please Tick the appropriate selection)

To, _____ Date

The Manager,
SVC Co-operative Bank Ltd., Depository Services,
Dosti Pinnacle, Unit No. 601 - 602 - 603, Plot No. E-7,
Road No. 22, Wagle Estate, Thane - 400 604

Through,
The Manager,
SVC Co-operative Bank Ltd.,
_____ Branch

Dear Sir,
I/We hereby authorize you to debit my/our below mentioned Bank A/c for all the charges relating to the below mentioned Demat account. Please treat this authorization as irrevocable till further instruction from our side is received in writing and duly acknowledged by you.

DP ID	<input type="text"/> 1 <input type="text"/> 3 <input type="text"/> 0 <input type="text"/> 4 <input type="text"/> 0 <input type="text"/> 3 <input type="text"/> 0 <input type="text"/> 0	BO client ID	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Operative Bank Account	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Type of Account	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> CCR		
Name of the Sole/First Holder	<input type="text"/> Title <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	FIRST NAME	Middle name
Name of Second Holder	<input type="text"/> Title <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	FIRST NAME	Middle name
Name of Third Holder	<input type="text"/> Title <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	FIRST NAME	Middle name

Thanking You,

Signature as per Demat	<input type="text"/> Signature : (1st Holder)	<input type="text"/> Signature : (2nd Holder)	<input type="text"/> Signature : (3rd Holder)
Signature as per Savings A/c	<input type="text"/> Signature : (1st Holder)	<input type="text"/> Signature : (2nd Holder)	<input type="text"/> Signature : (3rd Holder)

We hereby confirm that the details of BO (Client) ID & Operative Bank account as mentioned above are as per our record

For SVC Co - operative Bank Ltd.

Authorised Signatory

I/We the sole holder / joint holder / Guardian (in case of minor) hereby declare that:

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death

1. BO ACCOUNT*											
DPID	1 3 0 4 0 3 0 0			BO ID				UCC code			
Name of the Sole/First Holder	Title	FIRST NAME			Middle name			Surname			
Name of Second Holder	Title	FIRST NAME			Middle name			Surname			
Name of Third Holder	Title	FIRST NAME			Middle name			Surname			

2. NOMINATION DETAILS* (Nomination can be made upto three nominees in the account.)				
		Details of 1 Nominee	Details of 2 Nominee	Details of 3 Nominee
Name of the nominee(s)	(Mr./Ms.)			
Share of each Nominee	<input type="checkbox"/> Equally <small>(If not equally, specify %)</small>	Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
Relationship With the Applicant (If Any)				
Address of Nominee(s)*				
City* and State*				
Country* and Pincode*				
Mobile/Telephone No. of nominee(s)				
Email ID of nominee(s)				
Residual Securities (Please tick any one nominee) <small>(If tick not marked, the default will be first Nominee)</small>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3. NOMINEE IDENTIFICATION DETAILS* (Please tick any one of following and provide details of same) (+ For Aadhaar, only mention last 4 digit of Aadhaar no.)				
Details of 1 Nominee				Recent Photograph of Nominee1
<input type="checkbox"/> Photograph & Signature	<input type="checkbox"/> PAN	<input type="checkbox"/> Aadhaar*	<input type="checkbox"/> Saving Bank account no.	
<input type="checkbox"/> Proof of Identity	<input type="checkbox"/> Demat Account ID			
ID Number				Signature
Details of 2 Nominee				Recent Photograph of Nominee2
<input type="checkbox"/> Photograph & Signature	<input type="checkbox"/> PAN	<input type="checkbox"/> Aadhaar*	<input type="checkbox"/> Saving Bank account no.	
<input type="checkbox"/> Proof of Identity	<input type="checkbox"/> Demat Account ID			
ID Number				Signature
Details of 3 Nominee				Recent Photograph of Nominee3
<input type="checkbox"/> Photograph & Signature	<input type="checkbox"/> PAN	<input type="checkbox"/> Aadhaar*	<input type="checkbox"/> Saving Bank account no.	
<input type="checkbox"/> Proof of Identity	<input type="checkbox"/> Demat Account ID			
ID Number				Signature

4. GUARDIAN DETAILS* (Should be filed only if nominee is minor)											
Date of Birth											
Name of Guardian (Mr./Ms) (in case of minor nominee)	FIRST NAME			Middle name			Surname				
Guardian Address											
Landmark							City/Town/Village*				
State				Pin Code*				Country			
Mobile No				Email ID							
Relationship of Guardian with Nominee											

5. GUARDIAN IDENTIFICATION DETAILS* (Please tick any one of following and provide details of same)

<input type="checkbox"/> Photograph & Signature	<input type="checkbox"/> Proof of Identity	 <div style="border: 1px solid black; width: 150px; height: 40px; margin: 10px auto;"></div> <p>Signature of Guardian</p>	<div style="border: 1px solid black; width: 100px; height: 60px; margin: 10px auto;"></div> <p>Recent Photograph of Guardian</p> <p>Signature across the photograph</p>
<input type="checkbox"/> Aadhaar	* * * * *		
<input type="checkbox"/> PAN			

Name of Witness 1	Title	FIRST NAME	Middle name	Surname
Address of Witness 1				
Landmark				
City/Town/Village*				
State	Pin Code*	Signature : Witness 1		

 <div style="border: 1px solid black; width: 150px; height: 40px; margin: 10px auto;"></div> <p>Signature ^ : (1st Holder)</p>	<div style="border: 1px solid black; width: 150px; height: 40px; margin: 10px auto;"></div> <p>Signature ^ : (2nd Holder)</p>	<div style="border: 1px solid black; width: 150px; height: 40px; margin: 10px auto;"></div> <p>Signature ^ : (3rd Holder)</p>
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^ Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Nomination Notes:

- I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
- II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and

the Participant against the legal heir.

- VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
- VIII. Residual securities: in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.
- IX. This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Nomination Form accepted and registered No. _____ dated _____

Terms & conditions :

I acknowledge and declare that I have received in Physical / Electronic, read and understood, acknowledged and agreed to the Contents of –

1. Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to BOs for all debits]
2. Financial Details
3. FATCA Declaration
4. Demat Standard tariff sheet
5. Nomination acknowledgement

Debit Authorisation: I/We hereby authorize the Bank to debit all types of Bank charges / commission / fees (Service Charges) payable by me / us to the said Accounts. I/We undertake that sufficient balances shall be maintained by me/us in the said Accounts to facilitate the debiting of Service Charges. The failure on part of me / us to maintain sufficient balance in the said Account shall not in any way impair the right of the Bank to debit the Service Charges. I / We hereby further authorise the Bank to charge any interest on debit balance in the said Accounts due to the debiting of Service Charges, and/or recover the charges from any other account maintained by me/us with SVC Bank Ltd. The Bank shall not be obliged to provide overdraft facility on the said Account save & except for debiting of Service Charges payable by me/us. I/We specifically agree and confirm that any matter or issue arising hereunder shall be government by and construed exclusively in accordance with the Indian laws and shall be subject to the jurisdiction of the courts of Mumbai in India. I / We hereby confirm the Bank shall have a lien and right to set off on all monies belonging to me / us standing to my /our credit in any account whatsoever with the Bank and authorize the bank without reference to me / us to appropriate the same towards satisfaction of the service charges or any other charges due and payable by me / us.

Email Statement: I/We agree to discontinue the Physical Statements if electronic mode is opted. I/We understand that the email statements are for my/our convenience. SVC Bank shall not be liable or responsible for any breach of secrecy because the statements

are being sent to the email ID. I/We shall verify the authenticity of the emails, I/We receive. I/We shall not hold the Bank responsible for any statement received from frauds/imposters. I/We shall not hold the Bank liable if any problem arises with my/our computer network because of me/ us receiving statements from the Bank.. I/We are authorised by the other holders to receive the Statements to the email address. I/We shall inform the Bank in writing if there is any change in the email address. If no such change is intimated, service at the aforesaid email address will be deemed as proper service. The Bank shall not be responsible if I/we do not receive statement due to incorrect email address and technical reasons. I/We confirm to have read and understood the Terms & Conditions (a copy of which I am in possession of) pertaining to my/our account. I/We understand and agree that the email statements will only be sent to the First holder in the account. I/we am/are aware that I/we will not receive the transaction statements in paper form. I/we will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account. I/we am/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised Participant or Client can terminate such arrangement by giving 10 days prior notice.

All communication shall be sent at the address of the Sole/First holder only. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

 <div style="border: 1px solid black; width: 150px; height: 40px; margin: 10px auto;"></div> <p>Signature : (1st Holder)</p>	<div style="border: 1px solid black; width: 150px; height: 40px; margin: 10px auto;"></div> <p>Signature : (2nd Holder)</p>	<div style="border: 1px solid black; width: 150px; height: 40px; margin: 10px auto;"></div> <p>Signature : (3rd Holder)</p>
Date <input type="text"/>	Date <input type="text"/>	Date <input type="text"/>

Regular / Basic Services Demat Account

Date

Sr.No.	Services	Fees	Fees														
		<input type="checkbox"/> I wish to open Regular Demat Account	<input type="checkbox"/> I wish to open Basic Services Demat Account (BSDA)														
1.	Account Opening	NIL (Stamp Documents charges will be recovered as per actuals.)															
2.	Annual Maintenance Charges***	For Individuals: Rs. 450 p.a. For Non-Individuals - Rs. 700 p.a. (Tax as applicable) (corporate / sub-brokers / banks) * For Corporate accounts an additional AMC of 500/- payable to CDSL will be charged.	<table border="1"> <thead> <tr> <th rowspan="2">AMC</th> <th colspan="2">Holding Value</th> </tr> <tr> <th>DebtSecurities***</th> <th>Non DebtSecurities***</th> </tr> </thead> <tbody> <tr> <td>*** NIL</td> <td>0 to 100000</td> <td>0 to 50000</td> </tr> <tr> <td>*** Rs 100 p.a.</td> <td>100001 to 2 Lacs</td> <td>50001 to 2 Lacs</td> </tr> <tr> <td>*** Rs 450 p.a.</td> <td>Above 2 Lacs</td> <td>Above 2 Lacs</td> </tr> </tbody> </table>	AMC	Holding Value		DebtSecurities***	Non DebtSecurities***	*** NIL	0 to 100000	0 to 50000	*** Rs 100 p.a.	100001 to 2 Lacs	50001 to 2 Lacs	*** Rs 450 p.a.	Above 2 Lacs	Above 2 Lacs
AMC	Holding Value																
	DebtSecurities***	Non DebtSecurities***															
*** NIL	0 to 100000	0 to 50000															
*** Rs 100 p.a.	100001 to 2 Lacs	50001 to 2 Lacs															
*** Rs 450 p.a.	Above 2 Lacs	Above 2 Lacs															
3.	Dematerialisation (per Single request)	Rs. 4.00 per certificate (min Rs. 35.00 + courier charges at actuals)	Rs. 5.00 per certificate (min Rs. 50.00 + courier charges at actuals)														
4.	Rematerialisation	Rs. 75.00 per request + courier charges at actuals															
5.	Transaction	0.05 % of Market Value with a minimum of Rs. 35.00 and maximum of Rs. 500.00 (However if given on the settlement date for paying Rs. 20/- will be charged extra.)	0.06 % of Market Value with a minimum of Rs. 50.00 and maximum of Rs.500.00 (BSDA)(However if given on the settlement date for paying Rs. 20/- will be charged extra.)														
6.	Pledge/Unpledge	0.05 % of Market Value with a minimum of Rs. 40.00 and maximum of Rs. 500.00 per transaction.															
7.	Custodial Charges	Nil															
8.	Account Closure	Nil (Advance AMC paid will be refunded for remaining quarters)															
9.	Freezing/Dfreezing Charges	Rs. 75 per transaction															
10.	Failed Debit / Credit Transaction	Rs. 125.00 per transaction															
11.	Statement of Holding	Quarterly Free															
12.	Transaction Statement	Monthly (if any trans exists in the account)															
13.	Additional Statement	Only on request - Rs. 50.00 per statement															
14.	DIS Slip Book	Free (re-issue in case of lost book will be charged Rs. 25.00)															

Terms & condition of SVC Bank DEMAT Tariff

- Customers who have a banking relationship with SVC Bank to provide a debit authorization for the recovery of service charges
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- All charges / service standards are subject to revision at the SVC Bank sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days.
- Demat customers eligible for the Basic Services Demat Accounts (BSDA) facility need to register their mobile number for the SMS alert facility for debit transactions.
- ***The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle
- In case the Demat accounts with BSDA facility does not meet the listed eligibility as per guideline issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted to Standard program Demat accounts without further reference to the respective customers and will be levied standard Program pricing.
- In case if the Demat accounts with BSDA facility exceed the prescribed limits and move out of the stipulated BSDA criteria, the eligibility of such accounts for BSDA facility will be evaluated on the last day of the Annual billing cycle.
- The value of the transaction will be in accordance with rates provided by Depositories CDSL
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- The operating instructions for the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the Bank at least 24 hours before the execution date.
- In case of non- recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of 5 working days from the date of receipt of request with SVC Bank and post payment of all outstanding dues towards Depository charges.

Declaration: I hereby declare that the details above are true and correct to the best of my knowledge and I undertake to inform you of any changes therein ,immediately in case of the above information is found to be false or untrue or misleading or misrepresenting,I am aware that I may be held liable for it.

Signature : (1st Holder)

Signature : (2nd Holder)

Signature : (3rd Holder)

Application Serial No.	
Client interviewed, Documents verified with original and In person verification done by	
Staff Name of person doing IPV:	
Designation & staff code:	PA/RP No:
Signature of the person doing IPV:	
Branch Code:	
Name and signature of Authorised sign by along with SVC Co-op. bank Stamp:	
Date:	
Branch Head Name & Sign PA stamp	

Checklist for Demat

Checklist for Individuals / Minor / Applicant(s):		For the Applicants		For DP use	
1	Self attested copy of PAN submitted by ALL applicants.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	In case the photo on PAN copy is not clear, another self attested ID proof	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Self attested copy of Address Proof of ALL the applicants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	KYC form is duly filled, signed and submitted by ALL applicants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	Photograph affixed and signed across such that part of the signature is on the form	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Copy of cancelled cheque leaf/bank statement of the 1st applicant is provided	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Type of account mentioned	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8	Complete address of the bank, i.e Name and Address in full with Pin code is provided.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	MICR number duly filled-in	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FTR CHECKLIST					
1	Client Sign Missing ? Please Check That Customer has Signed the AOF in all the required Boxes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Copy of Pan Card & Address proof is Clearly Readable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Original seen & verification stamp is affixed on pan card/Address proof & signed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	All Alterations/Corrections are Attested by the customer	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Instructions to the Applicants (BOs) for account opening:

- Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate / Special Executive Officer under his/her official seal.
- Signatures should be preferably in black ink.
- Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
- In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
- In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
- All correspondence / queries shall be addressed to the first / sole applicant.
- Strike off whichever option, in the account opening form, is not applicable.

For Demat cell use only

	NAME	DESIGNATION	STAFF CODE	SIGNATURE	DATE
Maker					
Checker					

Demat cell Inward Stamp & Authorised Signatory with Stamp

No Nomination Declaration

To, SVC Co-Operative Bank Limited Dosti Pinnacle, Unit No. 601 - 602 - 603, Plot No. E-7, Road No. 22, Wagle Estate, Thane - 400 604		Date	<input type="text"/>	<input type="text"/>	<input type="text"/>
UCC/DP ID	<input type="text" value="13040300"/>	Client ID (only for Demat account)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Sole/First Holder Name	<input type="text"/>	FIRST NAME	<input type="text"/>	Middle name	<input type="text"/>
Second Holder Name	<input type="text"/>	FIRST NAME	<input type="text"/>	Middle name	<input type="text"/>
Third Holder Name	<input type="text"/>	FIRST NAME	<input type="text"/>	Middle name	<input type="text"/>

I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/demat account.

<input type="text"/>	<input type="text"/>	<input type="text"/>
Signature ^ : (1st Holder)	Signature ^ : (2nd Holder)	Signature ^ : (3rd Holder)

Name: 1. _____ 2. _____ 3. _____

^ Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Regular / Basic Services Demat Account

Date

Sr.No.	Services	Fees	Fees															
		<input type="checkbox"/> I wish to open Regular Demat Account	<input type="checkbox"/> I wish to open Basic Services Demat Account (BSDA)															
1.	Account Opening	NIL (Stamp Documents charges will be recovered as per actuals.)																
2.	Annual Maintenance Charges***	For Individuals: Rs. 450 p.a. For Non-Individuals - Rs. 700 p.a. (Tax as applicable) (corporate / sub-brokers / banks) * For Corporate accounts an additional AMC of 500/- payable to CDSL will be charged.	AMC <table border="1"> <thead> <tr> <th></th> <th colspan="2">Holding Value</th> </tr> <tr> <th></th> <th>DebtSecurities***</th> <th>Non DebtSecurities***</th> </tr> </thead> <tbody> <tr> <td>*** NIL</td> <td>0 to 100000</td> <td>0 to 50000</td> </tr> <tr> <td>*** Rs 100 p.a.</td> <td>100001 to 2 Lacs</td> <td>50001 to 2 Lacs</td> </tr> <tr> <td>*** Rs 450 p.a.</td> <td>Above 2 Lacs</td> <td>Above 2 Lacs</td> </tr> </tbody> </table>		Holding Value			DebtSecurities***	Non DebtSecurities***	*** NIL	0 to 100000	0 to 50000	*** Rs 100 p.a.	100001 to 2 Lacs	50001 to 2 Lacs	*** Rs 450 p.a.	Above 2 Lacs	Above 2 Lacs
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*** Rs 450 p.a.	Above 2 Lacs	Above 2 Lacs																
3.	Dematerialisation (per Single request)	Rs. 4.00 per certificate (min Rs. 35.00 + courier charges at actuals)	Rs. 5.00 per certificate (min Rs. 50.00 + courier charges at actuals)															
4.	Rematerialisation	Rs. 75.00 per request + courier charges at actuals																
5.	Transaction	0.05 % of Market Value with a minimum of Rs. 35.00 and maximum of Rs. 500.00 (However if given on the settlement date for paying Rs. 20/- will be charged extra.)	0.06 % of Market Value with a minimum of Rs. 50.00 and maximum of Rs.500.00 (BSDA)(However if given on the settlement date for paying Rs. 20/- will be charged extra.)															
6.	Pledge/Unpledge	0.05 % of Market Value with a minimum of Rs. 40.00 and maximum of Rs. 500.00 per transaction.																
7.	Custodial Charges	Nil																
8.	Account Closure	Nil (Advance AMC paid will be refunded for remaining quarters)																
9.	Freezing/Dfreezing Charges	Rs. 75 per transaction																
10.	Failed Debit / Credit Transaction	Rs. 125.00 per transaction																
11.	Statement of Holding	Quarterly Free																
12.	Transaction Statement	Monthly (if any trans exists in the account)																
13.	Additional Statement	Only on request - Rs. 50.00 per statement																
14.	DIS Slip Book	Free (re-issue in case of lost book will be charged Rs. 25.00)																

Terms & condition of SVC Bank DEMAT Tariff

- Customers who have a banking relationship with SVC Bank to provide a debit authorization for the recovery of service charges
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- All charges / service standards are subject to revision at the SVC Bank sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days.
- Demat customers eligible for the Basic Services Demat Accounts (BSDA) facility need to register their mobile number for the SMS alert facility for debit transactions.
- ***The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle
- In case the Demat accounts with BSDA facility does not meet the listed eligibility as per guideline issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted to Standard program Demat accounts without further reference to the respective customers and will be levied standard Program pricing.
- In case if the Demat accounts with BSDA facility exceed the prescribed limits and move out of the stipulated BSDA criteria, the eligibility of such accounts for BSDA facility will be evaluated on the last day of the Annual billing cycle.
- The value of the transaction will be in accordance with rates provided by Depositories CDSL
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- The operating instructions for the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the Bank at least 24 hours before the execution date.
- In case of non- recovery of service charges due to inadequate balance in your linked bank account or inadequate advance fees or invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of 5 working days from the date of receipt of request with SVC Bank and post payment of all outstanding dues towards Depository charges.

Declaration: I hereby declare that the details above are true and correct to the best of my knowledge and I undertake to inform you of any changes therein ,immediately in case of the above information is found to be false or untrue or misleading or misrepresenting,I am aware that I may be held liable for it.

Signature : (1st Holder)

Signature : (2nd Holder)

Signature : (3rd Holder)

NOMINATION ACKNOWLEDGMENT

Application Serial No.	
DP ID: 1 3 0 4 0 3 0 0	Client ID:
Name:	
Address:	
Nomination in favor of First-Nominee:	
Second- Nominee:	
Third- Nominee:	
No- Nomination: <input type="checkbox"/> Does not wish to nominate	
Registration No.:	Registered on (Date):
Depository Participant Seal and Signature	

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and/or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial

Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

I/We agree to abide by and to be bound by all the Terms and Conditions pertaining to Debit authorisation and Fee & Schedule.

ACCOUNT OPENING ACKNOWLEDGMENT - CDSL (DP ID – 13040300)

Application No. _____

Date : _____

Received the application from Mr/Ms _____ as the sole / first holder along with _____ and _____ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Participant Stamp & Signature